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PATENT & TRADEMARK

PATENT

Attorney Docket No. ULT-005-2C2P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	PATENT APPLICATION
)	
Inventor(s): Malcolm G. Smith, Sr. et al.)	
)	Art Unit: 2876
Application No.: 09/663,659)	
)	Examiner: April A. Nowlin
Filed: 9/15/2000)	
)	
Title DATA STORAGE CARD HAVING A)	
NON-MAGNETIC SUBSTRATE AND DATA)	
SURFACE REGION AND METHOD FOR)	
USING SAME)	
)	

Fee only

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s).

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

1

☐   This statement qualifies under *37 C.F.R. § 1.97*, subsection (b) because (check all that apply):

     ☐   (1)   It is being filed within 3 months of the application filing date and is other than a
                  continued prosecution application under § 1.53(d)
                  -- OR --

     ☐   (2)   It is being filed within 3 months of entry of a national stage
                  -- OR --

     ☐   (3)   It is being filed before the mail date of the first Office Action on the merits.
                  -- OR --

     ☐   (4)   It is being filed before the mailing of a first Office Action after the filing of a request for
                  continued examination under § 1.114

☒   *37 C.F.R. § 1.97(c)*. If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:

     ☐   a certification as specified in § 1.97(e) is provided below; **or**

     ☒   a fee of $180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐   *37 C.F.R. § 1.97(d)*. If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
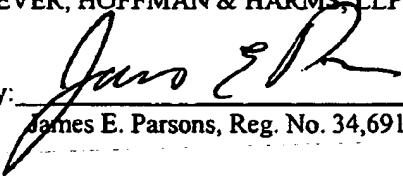
     A.   a certification as specified in § 1.97(e) is completed below; **and**

     B.   a fee of $180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒   *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of $180 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. ULT-005-2C2P).

Respectfully submitted,

BEVER, HOFFMAN & HARMS, LLP

Dated: 5/19/04

By: _____

James E. Parsons, Reg. No. 34,691

Telephone: (408) 451-5906
Customer No. 22888

PATENT APPLICATION FEE DETERMINATION RECORD
Effective October 1, 2001

Application or Docket Number: 09/663659

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)		SMALL ENTITY TYPE ☐		OR	OTHER THA... SMALL ENT...
				RATE	FEE		RATE	F...
TOTAL CLAIMS				BASIC FEE	370.00	OR	BASIC FEE	74(
FOR	NUMBER FILED	NUMBER EXTRA						
TOTAL CHARGEABLE CLAIMS	minus 20=	*		X$ 9=		OR	X$18=	
INDEPENDENT CLAIMS	minus 3 =	*		X42=		OR	X84=	
MULTIPLE DEPENDENT CLAIM PRESENT		☐		+140=		OR	+280=	
				TOTAL		OR	TOTAL	

* If the difference in column 1 is less than zero, enter "0" in column 2

7/28 (vip)

CLAIMS AS AMENDED - PART II

SMALL ENTITY   OR   OTHER THA... SMALL ENT...

AMENDMENT A

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE	ADDI-TIONAL FEE		RATE	A... TI... F...
Total	* 20	Minus	** 60	=	X$ 9=		OR	X$18=	
Independent	* 2	Minus	*** 14	=	X42=		OR	X84=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				☐	+140=		OR		
					TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

AMENDMENT B

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE	ADDI-TIONAL FEE		RATE	TI...
Total	*	Minus	**	=	X$ 9=		OR	X18=	
Independent	*	Minus	***	=	X42=		OR	X84=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				☐	+140=		OR	+280=	
					TOTAL ADDIT. FEE		OR	TOTAL ADDIT FEE	

AMENDMENT C

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE	ADDI-TIONAL FEE		RATE	T...
Total	*	Minus	**	=	X$ 9=		OR	X$18=	
Independent	*	Minus	***	=	X42=		OR	X84=	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				☐	+140=		OR	+280=	
					TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Patent and Trademark Office, U.S. DEPARTMENT OF...

FORM PTO-875 (Rev. ...)